



Transportation  
Safety Board  
of Canada

Bureau de la sécurité  
des transports  
du Canada



# Presentation to CEPA Incident Forum 2017

Faye Ackermans

Member, Transportation Safety Board of Canada

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# Outline

- Safety and integrity management
- Safety culture
- Accident investigation evolution
- Measuring progress



# About the Transportation Safety Board of Canada

**Mandate:** To advance transportation safety in the marine, pipeline, rail, and air modes by:

- conducting **independent investigations**
  - identifying **safety deficiencies**
  - identifying **causes** and **contributing factors**
  - making **recommendations** to eliminate/reduce safety deficiencies
- 
- It is not the function of the Board to assign fault or determine civil or criminal liability
  - TSB is not a regulator
  - TSB is independent from other government departments and agencies



# A bit of history

- |      |             |   |
|------|-------------|---|
| 1974 | Flixborough | Explosion at petrochemical facility <ul style="list-style-type: none"><li>• First requirement for a "safety case"</li></ul>   |
| 1976 | Seveso      | Release of 6 tons of chemicals, including 1 kg dioxin <ul style="list-style-type: none"><li>• European safety regulations</li></ul>   |
| 1988 | Piper Alpha | Explosion/fire on North Sea oil & gas rig <ul style="list-style-type: none"><li>• Enquiry by Lord Cullen</li><li>• Recommended: formal assessments of major hazards to be identified and mitigated (i.e., a "safety case")</li><li>• To be updated regularly and on the occurrence of change of circumstances</li></ul> |



# NEB requirements for safety management

- Meant as a “framework” to address vulnerabilities before a failure
- Processes are used to help manage risk, but processes alone are not sufficient



# Three approaches to safety management

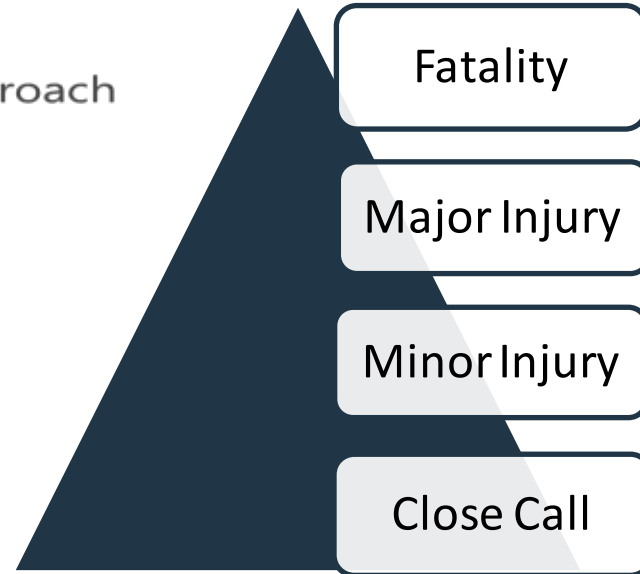
## The Person Model

### What it is

- Traditional Occupational Safety Approach
- Unsafe Acts
- Accidents/Injuries
- “Iceberg” or “pyramid”

### Outcomes

- “Blame and retrain”
- Write another procedure
- Traditional discipline



# The technical/engineering model



# The organization model

*What it is*

**Human error viewed as consequence, not cause**

**Errors are symptoms of latent conditions in the system**

**Latent conditions are the result of:**

- Management decisions
- Design
- Changes introduced after earlier accidents

*Success defined by*

**Having pro-active (or leading) indicators of the health of the system**

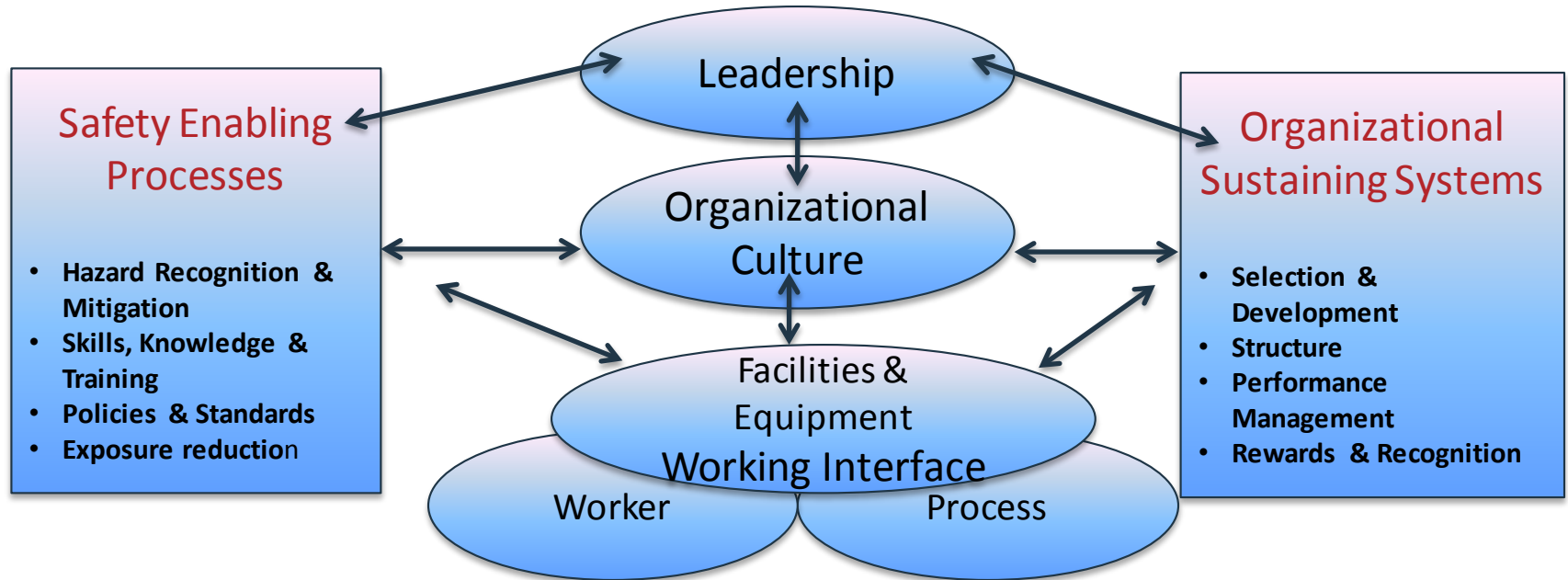
**Safety decision making embedded throughout the organization**

**Organization performance: find opportunity for actions to prevent accidents (“find trouble before trouble finds you”)**





# Safety, leadership, and culture



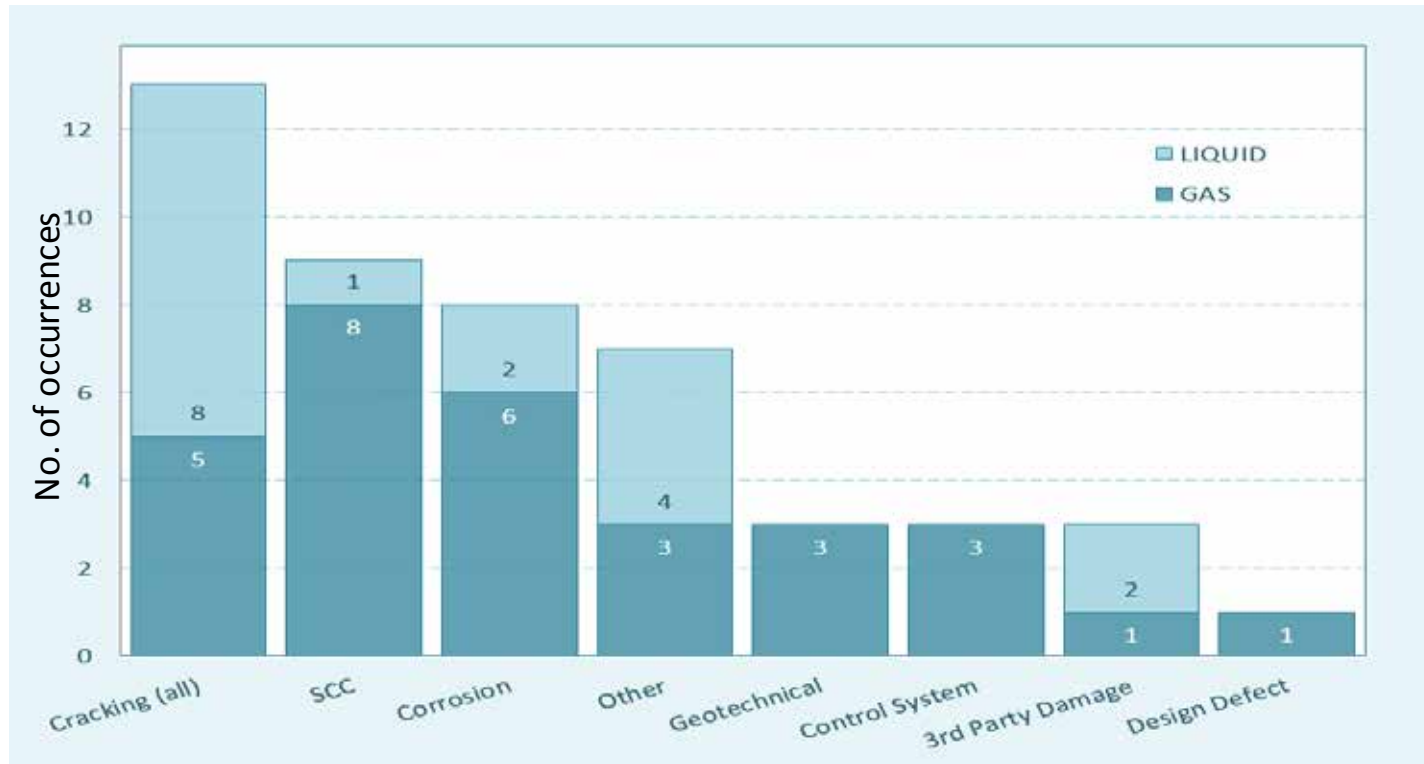
Source: *Leading with Safety*. Tom Krause, Behavioral Sciences Technologies

# Accident investigation: an evolution

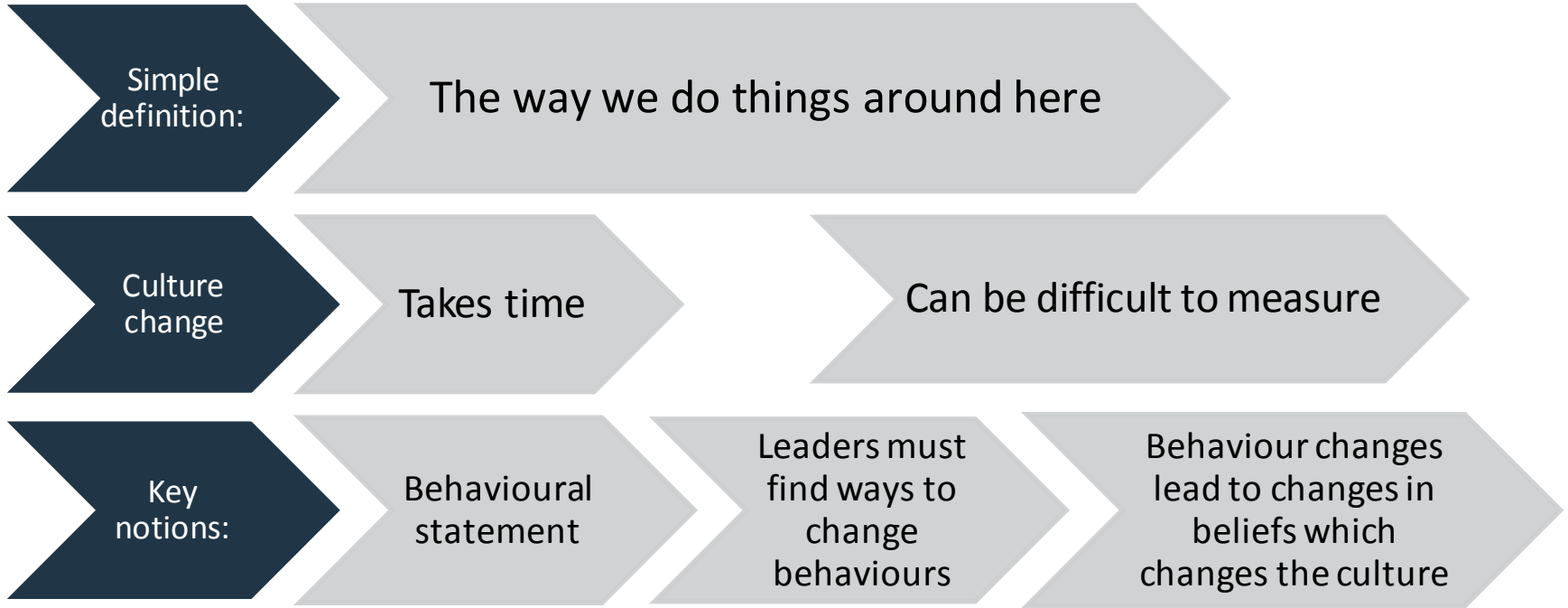
- The way we think about accident causation has evolved:
  - from: "**what broke?**"
  - to: "**why did someone make that decision?**"
- If we focus too much on "rule-breaking," we miss out on the **operational context**.



# TSB findings: Causes and contributing factors for 47 pipeline accidents (1990-2014)



# What is safety culture?



# Competing “top priorities”



Is safety a “top priority” or a “value” in your organization?

# Assessing the outcomes

- Do what you say you will do
- Just culture
- Reporting culture
- Learning culture



# SMS: The regulatory conundrum

- Success of SMS enabling processes required by regulation depends upon the sustaining systems
- Evaluation of sustaining systems is subjective and beyond the ambit of the safety regulator
- Safety culture assessments provide some of the “why”
- BUT leaders’ beliefs and behaviours create the culture.
- SMS regulations do not create safety culture.
- How does the regulator change leaders’ beliefs and behaviours?



## Words to consider ...

*“No amount of regulations for safety management can make up for deficiencies in the way in which safety is actually managed. The quality of safety management ... depends critically, in my view, on effective safety leadership at all levels and the commitment of the whole workplace to give priority to safety.”*

**Lord Cullen**  
**2013 Conference,**  
**25<sup>th</sup> Anniversary Piper Alpha**





## Contact us:



**Media relations: (819) 994-8053**



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# QUESTIONS?



# Canada

